Massello

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on January

123, 2002.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Gregory R. Mundy, et al.

Serial Nos.: 09/113,947; 09/361,775; 09/421,545; 09/558,973 & 09/695,807

Filing Date:

For:

Examiner: R. Gitomer

Group Art Unit: 1623

JAM 23 PM 1: 33

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July

COMMUNICATION

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Applicant greatly appreciates the Examiner's granting an interview and discussing the outstanding issues in connection with the above-identified applications. The following lists items to be hand-delivered to the Examiner in connection with each of the above-identified applications.

09/113,947 (Docket No. 432722002600)

Request for Reconsideration (including Exhibits A through F) sent to USPTO on
October 19, 2001 via first class mail, on November 29, 2001 via hand-delivery and on
January 2, 2002 via facsimile (without Exhibits A through E):

Exhibit A:

U.S. Patent No. 6,083,690;

Exhibit B:

U.S. Patent No. 6,022,887;

Exhibit C: WO 98/25460;

Exhibit D: Vinitsky, et al., Inhibition of the Proteolytic Activity of the Multicatalytic Proteinase Complex (Proteasome) by Substrate-related Peptidyl

Aldehydes, J. Biol. Chem. 269(47): 29860 (1994); and

Exhibit E: Application Serial No. 09/096,631.

09/361,775 (Docket No. 432722002620)

• Amendment and Response under 37 C.F.R. §1.116 (including Exhibits A through N) sent to USPTO on November 6, 2001 via hand-delivery, and a copy of a post card acknowledging USPTO's receipt of these references on November 6, 2001 is also included herein):

Exhibit A: A mark-up version of the amended claims 1-3;

Exhibit B: U.S. Patent No. 6,022,887

Exhibit C: U.S. Patent No. 6,117,887;

Exhibit D: U.S. Patent No. 6,075,150;

Exhibit E: U.S. Patent No. 5,847,076;

Exhibit F: Furet et al., Bioorg. Med. Chem. Lett., 11(10):1321-4 (2001)

(Abstract only);

Exhibit G: Sunwoo et al., *Clin. Cancer Res.*, <u>7(5)</u>:1419-28 (2001) (Abstract

only);

Exhibit H: Sun et al., Cancer Res., 61(4):1280-4 (2001) (Abstract only);

Exhibit I: Princiotta et al., *Proc. Natl. Acad. Sci. U.S.A.*, 98(2):513-8 (2001) (Abstract only);

Exhibit J: U.S. Patent No. 6,207,458;

Exhibit K: Arnold et al., J. Biol. Chem., 276(18):14675-84 (2001) (Abstract

only);

Exhibit L: Sharma et al., Bioorg. Med. Chem., 8(7):1819-23 (2000) (Abstract

only);

Exhibit M: Ponnappan et al., Exp. Gerontol., 34(1):95-107 (1999) (Abstract

only); and

Exhibit N: Li et al., J. Protein Chem., <u>17(8)</u>:757-63 (1998).

• references allegedly missing from the 3/13/00 IDS, namely References 1-4, 6, 9-25, 27-31, 33-41, 43-46, 48-59, 61-73, sent to USPTO on November 6, 2001 via hand-delivery (same references were also sent to USPTO on July 27, 2001 via first class mail with Amendment and Response under 37 C.F.R. §1.111, and a copy of a post card acknowledging USPTO's receipt of these references on August 1, 2001 is also included herein).

09/421,545 (Docket No. 432722002621)

- RESPONSE TO RESTRICTION REQUIREMENT AND PRELIMINARY
 AMENDMENT sent to USPTO on October 18, 2001 via first class mail.
- SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER
 37 C.F.R. § 1.97 with the PTO-1449 Form and references 1 and 5-8 sent to USPTO on September 7, 2001 via first class mail.

09/558,973 (Docket No. 432722002622)

- RESPONSE TO RESTRICTION REQUIREMENT AND PRELIMINARY AMENDMENT sent to USPTO on October 19, 2001 via first class mail.
- SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER
 37 C.F.R. § 1.97 with the PTO-1449 Form and references 1 and 5-8 sent to USPTO on September 7, 2001 via first class mail.

09/695,807 (Docket No. 432722002623)

- RESPONSE TO RESTRICTION REQUIREMENT sent to USPTO on December 17,
 2001 via first class mail.
- INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 with the PTO-1449 Form and references 1, 10, 55, 63, 68 and 76 sent to USPTO on July 10, 2001 via first class mail.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to

charge the cost of such petitions and/or other fees due in connection with the filing of this document to <u>Deposit Account No. 03-1952</u> referencing docket no. <u>432722002630</u>. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated:

January **22**, 2002

By:

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